

## DEMAT PLAN CHANGE REQUEST

To,  
Patel Wealth Advisors Pvt. Ltd.  
"Oxygen", Gaurav Park,  
Opp. Pradhyuman Royal Heights,  
Near Neel Da Dhaba,  
Off Kalawad Road,  
Rajkot - 360005.

From,  
Client Name: \_\_\_\_\_

Client Code: \_\_\_\_\_ DP ID IN304131 CLIENT ID \_\_\_\_\_

Client Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Subject: Request for Change in Demat Plan

Kindly consider my request for change in DP Plan for my DP CLIENT ID \_\_\_\_\_.

My current DP Plan is \_\_\_\_\_, Kindly convert my new DP Plan to \_\_\_\_\_.

Yours faithfully,

Client Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date : \_\_\_\_\_

## DEMAT PLAN STRUCTURE & CHARGES

No.	Particulars	Plan - P	Plan - Q	Plan 2022-R	Plan - S (BSDA)
1	Annual Maintenance Charges	NIL	NIL	Rs. 300 (Including GST)	NIL - For-Debt Securities (Value of Holdings Up to Rs. 1,00,000 Rs.100/- for 1,01,000 to 2,00,000 -
2	2nd Year onwards	NIL	NIL	Rs. 300 + GST	NIL
3	One Time Non Refundable	NIL	Rs. 950/-	NIL	NIL
4	Advanced Deposit Refundable	Rs. 2999/-	NIL	NIL	NIL
5	Off Market Trade Transfer within PWAPL	Rs. 30/-	Rs. 30/-	Rs. 50/-	Rs. 100/-
6	On/Off Market Trade Transfer outside PWAPL	Rs. 100/ISIN	Rs. 100/ISIN	Rs. 100/ISIN	Rs. 100/ISIN
7	On Market Early/Auto Pay-in either from PWAPLs any A/c or Clients Beneficiary account with PWAPL	Rs. 18/-	Rs. 18/-	Rs. 25/ISIN	Rs. 100/-
8	Dematerialization or Rematerialization Charges	Rs. 500/Cert	Rs. 500/Cert	Rs. 500/Cert	Rs. 50/Cert
9	Postage per Demat Request	Rs. 250/Instance	Rs. 250/Instance	Rs. 250/Instance	Rs. 100/Instance
10	Pledge Mark/Unmark	Rs. 40/ISIN/Instance	Rs. 40/ISIN/Instance	Rs. 40/ISIN/Instance	Rs. 40/ISIN/ Instance
11	KRA Charges	Rs. 50/-	Rs. 50/-	As per actual	Rs. 50/-
12	DIS Issuance	Rs. 25/-	Rs. 25/-	Rs. 50/-	Rs. 100/-
13	Statement Charges	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-
14	Demat Profile Modification	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-
15	POA Stamp Franking Charges	As per actual	As per actual	As per actual	As per actual
16	CMR Request	Rs. 25/-	Rs. 25/-	Rs. 25/-	Rs. 25/-
17	Demat Plan Change Processing Fees	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-
18	Dormant Account Reactivation Processing Fees	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-

In case of refundable Plan -P, if client closes demat account before 365 days then the refundable amount will be reduced by Rs. 999/- & after 365 days anytime client closes the account refundable amount will be reduced by Rs. 499/-. All charges levied & debited for demat accounts shall be transferred to Trading account ledger. In case of CAS or any other charges debited by NSDL to DP Patel Wealth Advisors Pvt. Ltd., the same will be transferred to the demat ledger of account holder. Rs. 200/- will be charged additional to regular charge in case of DIS book requested without requisition slip. Patel Wealth Advisors Pvt. Ltd. reserves the right to freeze demat account transactions carried out/initiated/requested by client in case of non payment of outstanding demat dues. AMC once paid is 100% non refundable for all our AMC plans. AMC calculation cycle will be renewed on 1st April every year in advance. In case of Physical demat Holding or Transaction statement delivery, courier cost of Rs. 100 plus GST will be debited per instance. Patel Wealth Advisors Pvt. Ltd. reserves the right to modify/cancel/revise any of the tariff charges/services or terms and conditions at its sole discretion mentioned herein above by publishing/displaying the same on its web/mobile back office interface/website and/or by conveying same on registered mobile or email id of clients by giving 7 days advanced intimation prior to implement. Rs. 50 plus GST will be charged towards Corporate Action (Buyback/OFS/Delisting/Takeover) orders/request processing fees. All disputes arising out of or in any way connected with PWAPL, shall be deemed to have arisen in Rajkot and only courts in Rajkot shall have jurisdiction to determine the same. Payment Gateway charges of Rs. 10 + GST will be levied on all funds Pay-in transactions carried out using PG partner services. Investment in securities markets are subject to market risk. Please read all the offer documents carefully before investing. Plan - Q is valid only if the client opts to receive transaction statements, bills and all other communications by email. If the email of the client gets bounced, the AMC of Rs. 300 plus applicable taxes will be charged extra. For Plan S, if holding value for an account exceeds more than Rs. 2lakh at anytime, Patel Wealth Advisors Pvt. Ltd. may levy charges as applicable to Plan 2022-R. Call-and-Trade charges of Rs. 50/Successfully placed buy/sell orders, executed orders, rejected orders, cancelled orders will be applied with upper limit of maximum Rs. 1000/day irrespective of any number of orders.

I/We agree to the following terms and conditions in this regard.

- I/We are aware that I/We will not receive the transaction/holding/ledger statements in paper form.
- I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- I/We are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
- In case transaction statements are sent by email, I/We shall immediately inform you about changes in email, if any.
- I/We, the client and you PWAPL; both shall have the right to terminate such service provided a written notice is given at least 10 days in advance to the other party.
- In case, if my email account is closed/full due to any reason and new email details are not updated/submitted, Patel Wealth Advisors Pvt. Ltd. may charge Rs. 100/-extra for sending the transaction statement in paper form or Rs. 300/- p.a. as AMC, as the case maybe.

PLAN ADOPTED     P     Q     R     S    (Please right make the appropriate box)

	1 <sup>st</sup> Holder Name	2 <sup>nd</sup> Holder Name	3 <sup>rd</sup> Holder Name
X	1 <sup>st</sup> Holder Signature	2 <sup>nd</sup> Holder Signature	3 <sup>rd</sup> Holder Signature

### Declaration for Common Mobile Number & E-Mail ID

DP ID	IN304131	Client ID	Date
X	1 <sup>st</sup> Holder Signature	Name:	
		Mobile:	<input type="checkbox"/> Me <input type="checkbox"/> My Family
		E-mail ID :	<input type="checkbox"/> Me <input type="checkbox"/> My Family
	2 <sup>nd</sup> Holder Signature	Name:	
		Mobile:	<input type="checkbox"/> Me <input type="checkbox"/> My Family
		E-mail ID :	<input type="checkbox"/> Me <input type="checkbox"/> My Family
	3 <sup>rd</sup> Holder Signature	Name:	
		Mobile:	<input type="checkbox"/> Me <input type="checkbox"/> My Family
		E-mail ID :	<input type="checkbox"/> Me <input type="checkbox"/> My Family

I/We, hereby declare that the aforesaid mobile number or email id belongs to me or my family (Spouse, dependent children and dependent parents)