



# **BUSINESS PARTNER EVALUATION FORM**

**PATEL WEALTH ADVISORS PVT. LTD.**

## INSTRUCTIONS

- ❖ This form is for the evaluation of Business Partner.
- ❖ Please fill in all the details in **BLOCK LETTERS** only.
- ❖ Put your signature in the space provided at the bottom of each page.
- ❖ Please provide all the required documents and tick them appropriately in the checklist provided in the form.
- ❖ Patel Wealth Advisors Pvt. Ltd. in its absolute discretion reserves the right to accept or reject this Evaluation form without assigning any reason whatsoever.

## Eligibility Criteria & Conditions of Appointment

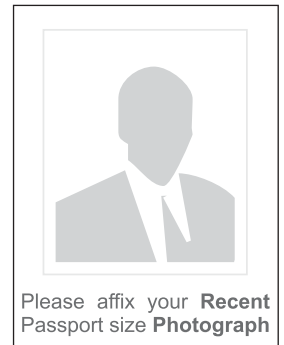
### Eligibility Criteria for Individuals

1. Should be a citizen of India.
2. Should not be less than 18 years of age.
3. Should not have been convicted of any offence involving fraud and dishonesty.
4. Should have good reputation and character.
5. Should have passed at least 10th standard or equivalent examination from an institution recognized by the Government.
6. The Authorised Person shall also have the necessary infrastructure like adequate office space, equipment and manpower to effectively discharge the activities on behalf of the Trading Member.

## Common checklist for BP registration (All copies of valid proofs should be self certified)

- ❖ Copy of **Pan Card**
- ❖ Copy of **Office Address Proof**
- ❖ Copy of **Residence Address Proof**
- ❖ Copy of **Education Proof (Marksheet / Certificate)**

PATEL WEALTH ADVISORS PVT. LTD.  
 "Oxygen", M/2/3 Gaurav Park,  
 Opp. Pradhyuman Royal Heights,  
 Near Neel Da Dhaba, Off. Kalawad Road,  
 Rajkot – 360005 Gujarat.



Dear Sir,

I/We am/are interested to furnish the following information and document forwards my/our Evaluations for becoming your Business partner.

Applied for	<input type="checkbox"/> Nse Cash <input type="checkbox"/> Nse FO <input type="checkbox"/> Nse CD <input type="checkbox"/> Bse Cash <input type="checkbox"/> Bse FO <input type="checkbox"/> Bse CD					
Application Satus	<input type="checkbox"/> Individual <input type="checkbox"/> Proprietorship <input type="checkbox"/> Partnership Firm <input type="checkbox"/> LLP <input type="checkbox"/> Body Corporate					
<b>PERSONAL DETAILS OF APPLICANT</b>						
NAME: MR. / MS. / M/s. <small>(applicant name should be as appearing in PAN card )</small>						
First		Middle		Last		
Maiden Name <small>(if any)</small>				Place of Birth		
Father / Husband Name						
Trade Name of Applicant						
Date of Birth / Incorporation date <small>(In case of other than individual)</small>				Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female	
PAN NO.						
Education Qualification of Individual / Prop.				Mobile No.		
Email ID						
Office Address						
<input type="checkbox"/> Own <input type="checkbox"/> Rented						
	City		State		Pin Code	
Office Contact Detail				Office Sq. Ft. (Approx)		
Residence Address						
<input type="checkbox"/> Own <input type="checkbox"/> Rented						
	City		State		Pin Code	
Residence Contact Detail				Resi. Sq. Ft. (Approx)		

<b>BANK DETAILS OF APPLICANT</b>			
Bank Name			
Bank Branch Address			
Bank Account No.			
Type of A/c	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Other _____
Preferred Mode of Payment	<input type="checkbox"/> Cheque	<input type="checkbox"/> Online Transfer	
IFSC Code		MICR Code	

<b>INFRASTRUCTURE DETAILS</b>	
Employee Strength	
No. of Trading terminals required:	
Connectivity	<input type="checkbox"/> VSAT <input type="checkbox"/> Internet <input type="checkbox"/> Lease Line <input type="checkbox"/> Others
Type of Internet Connection	<input type="checkbox"/> Dial Up <input type="checkbox"/> Broadband <input type="checkbox"/> Cable <input type="checkbox"/> Other

<b>CAPITAL MARKET EXPERIENCE</b>	
Awareness Rank	<input type="checkbox"/> 1 For Low <input type="checkbox"/> 2 For Medium <input type="checkbox"/> 3 High Awareness
	<input type="checkbox"/> Equity <input type="checkbox"/> Currency <input type="checkbox"/> Commodities <input type="checkbox"/> Mutual Funds <input type="checkbox"/> Debt <input type="checkbox"/> IPOs <input type="checkbox"/> Others

No. of years in broking and period:	
Whether the individual / proprietor / partner / director of the entity is/was associated with any exchange / member of the exchange:	

<input type="checkbox"/> YES <input type="checkbox"/> NO	If yes, the details should be captured as follows:			
Name / Trade name of applicant	Associated broker name	Registration nos. with segment (CM/F&O/CD)	Name of exchange	Current Status

<b>SECURITY DEPOSIT DETAILS</b>				
Total SD required from BP	Upfront cash amount received	Stocks / Debentures	Bank Guarantees / FDRs	Deduction from brokerage / Other remarks

DEALER'S CERTIFICATION STATUS		I	II	III
Name of the Person(s)				
Father's Name				
Date of Birth				
Certification Details				
Certificate Expiry Date				
Permanent Address				

### BROKERAGE SHARING DETAILS

Product	Sharing Ratio (PWAPL: BP)	Delivery brokerage	Intraday/future brokerage	Min. brokerage per share	Minimum options brokerage
NSE / BSE CASH					
NSE / BSE F&O					
NSE / BSE CD					
Others					
Rate offered on Specific Business Commitment					

### BUSINESS COMMITMENT

Cumulative	3 Months	6 Months	1 Year
No. of Relationship(s) / Client(s)			
Daily Turnover (Rs. in Lacs)			
Gross Brokerage (Per month)			

### CHEQUE / DD DETAILS

	Security Deposit	Exchange Processing Fee	PWAPL Processing Fee
Cheque No.			
Amount			
Cheque / DD Date			
Name of Bank			

### Reference

Reference Branch Code	
Reference Introducer Code	
Reference Introducer Name	
Region / Zone / Area of BP Location	



Registered & Corporate Office: "Oxygen", Gaurav Park, Opp : Pradhyuman Royal Heights, Near Neel Da Dhaba, Off Kalawad Road, Rajkot-360005. Gujarat (INDIA).  
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